

08-09-01

A

PATENT APPLICATION  
B05555B-AT1

08/08/01  
1093 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Jc973 U.S. PTO  
09/19/2001  
08/08/01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Tyrone A. Johnsen

Title: Cooling of a Rotor for a Rotary Electric Machine

1. Type of Application

This new application is for a

- ☒ utility patent.  
☐ design patent.

2. Application Papers Enclosed

- Title Page  
8 Pages of Specification (excluding Claims, Abstract & Drawings)  
3 Page(s) of Claims  
1 Page(s) of Abstract  
3 Sheet(s) of Drawings (Figs. 1 to 4)  
☐ Formal  
☒ Informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on August 8, 2001, in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EK670203430US.

Chris Mattingly  
Signature of: Chris Mattingly

3. **Declaration or Oath and Power of Attorney**

- ☒ Enclosed
- ☒ Executed by (check all applicable boxes)
- ☒ Inventor(s)
- ☐ Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)
- ☐ Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
- ☐ The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee.
- ☐ Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

4. **Additional Papers Enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement and IDS Transmittal Letter
- ☐ Declaration of Biological Deposit
- ☐ Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence
- ☐ Verified statement(s) claiming small entity status under 37 CFR 1.9 and 1.27
- ☐ Power of Attorney
- ☐ Verified translation of a non-English patent application
- ☒ An assignment of the invention and assignment transmittal letter
- ☐ Certified copy(ies) of application(s):
- ☐

COUNTRY	APPLICATION NO.	FILED

from which priority under 35 USC 119 is claimed: ☐ is(are) attached.

☐ will follow      ☐ Other.

# Filing Fee Calculation (37 CFR 1.16)

A. : Utility Application

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$345.00		\$710.00
TOTAL	10-20	= 0	X 9 =	\$	X 18 =	\$000.00
INDEP.	2-3	= 0	X 39 =	\$	X 78 =	\$000.00
First Presentation of Multiple Dependent Claim			+ 130 =	\$	+ 260 =	\$000.00
Filing Fee:				\$	OR	\$710.00

B. ☐ Design Application (\$155.00/\$310.00) Filing Fee: \$ \_\_\_\_\_

C. ☐ Plant Application (\$240.00/\$480.00) Filing Fee: \$ \_\_\_\_\_

## D. Other Fees

☒ Recording Assignment [Fee -- \$40.00 per assignment] \$ 40.00

☐ Petition fee for filing by other than all the inventors  
or person on behalf of the inventor where inventor refused  
to sign or cannot be reached [Fee -- \$130.00] \$ \_\_\_\_\_

☐ Other \$ \_\_\_\_\_

**Total Fees Enclosed** \$ 750.00

6. **Method of Payment of Fees**

- ☐ Check in the amount of: \$
- ☒ Charge Deposit Account No. 50-1439 \$750.00  
A copy of this Transmittal is enclosed.
- ☐ Not enclosed

7. **Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 or 37 CFR 1.17 which may be required by this paper to Deposit Account No. 50-1439. **A copy of this Transmittal is enclosed.**

Please refund any overpayment to the Hamilton Sundstrand Corporation at the address below.

Please direct all future communications to Eugene V. Feldman, at the address below:

Respectfully submitted,

Hamilton Sundstrand Corporation  
4747 Harrison Ave.  
P.O. Box 7002  
Rockford, IL 61125-7002  
(815) 226-2678

By: Eugene V. Feldman  
Eugene V. Feldman  
Reg. No. 43,429

703030-3407000

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/13/2001 MWOLDBR1 00000012 501439 09324976

01 FC:101 710.00 CH